



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

Activities of the European Medicine Agency on ethics of biomedical research

Fergus Sweeney, PhD

European Medicines Agency

International Conference on "Ethics Review of Biomedical Research Project"

Council of Europe and the Ministry of Health and Social Development of the Russian Federation

Moscow 28-29 Nov 2011

Presented by: Fergus Sweeney, PhD
Head of Sector, Compliance and Inspection, European Medicines Agency.

An agency of the European Union





Disclaimer

The views presented in this presentation/these slides are those of the author and should not be understood or quoted as being made on behalf of the European Medicines Agency and/or its scientific committees



EUROPEAN MEDICINES AGENCY

European Medicines Agency

Science. Medicines. Health.

The mission of the
European Medicines Agency
is to foster scientific excellence in
the evaluation and supervision of
medicines, for the benefit of
public and animal health.



EU Regulatory Network

European Commission DG SANCO

European Medicines Agency (EMA)

- Centralised procedure
- CHMP and WPs, COMP, PDCO, SAWP..
- Clinical trial database EudraCT, EudraVigilance database (clinical trial and post-marketing)

National Competent Authorities (NCAs)

- National marketing authorisations via DCP/MRP
- National authorisation of Clinical Trials

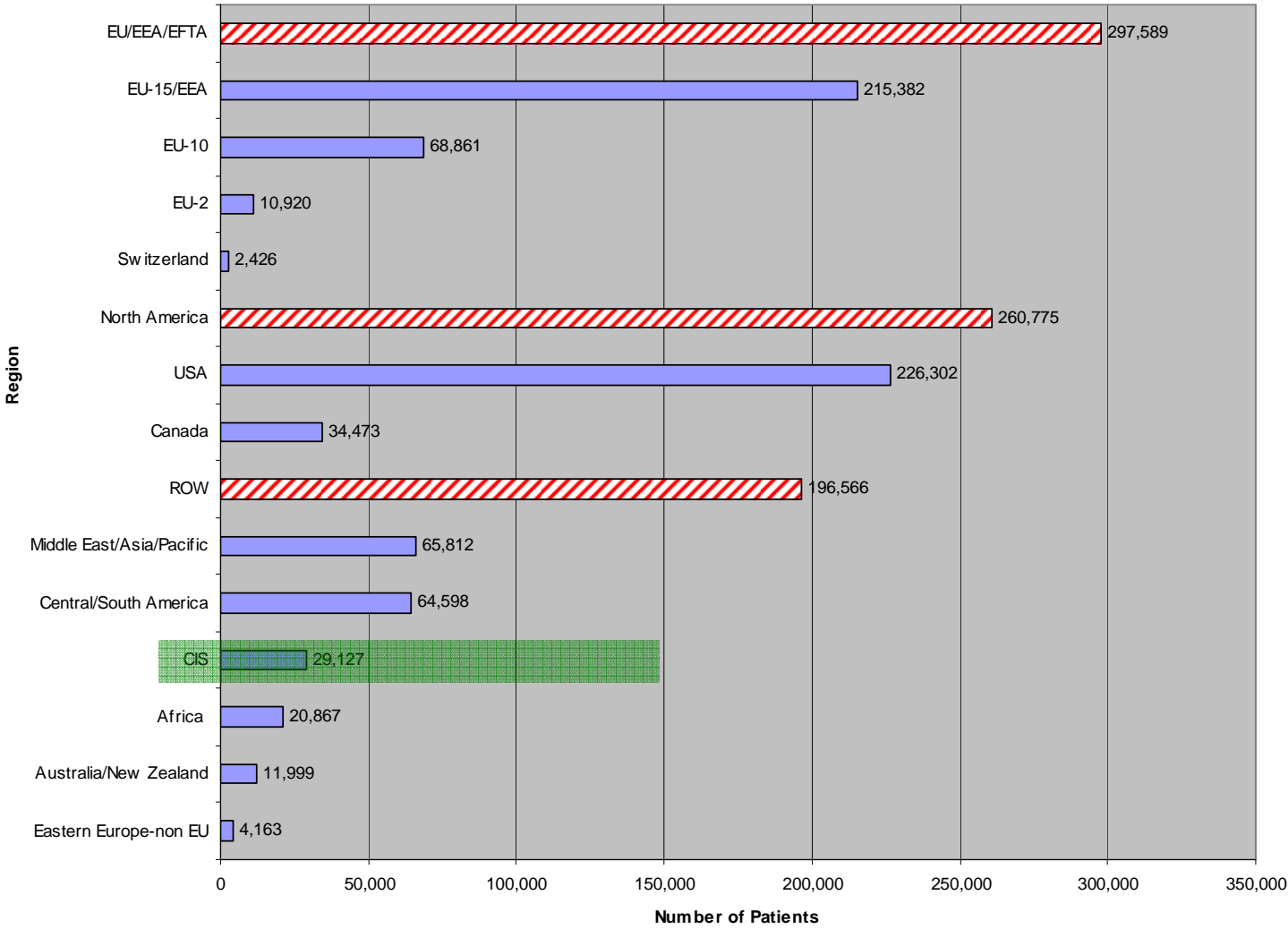


Role of EMA

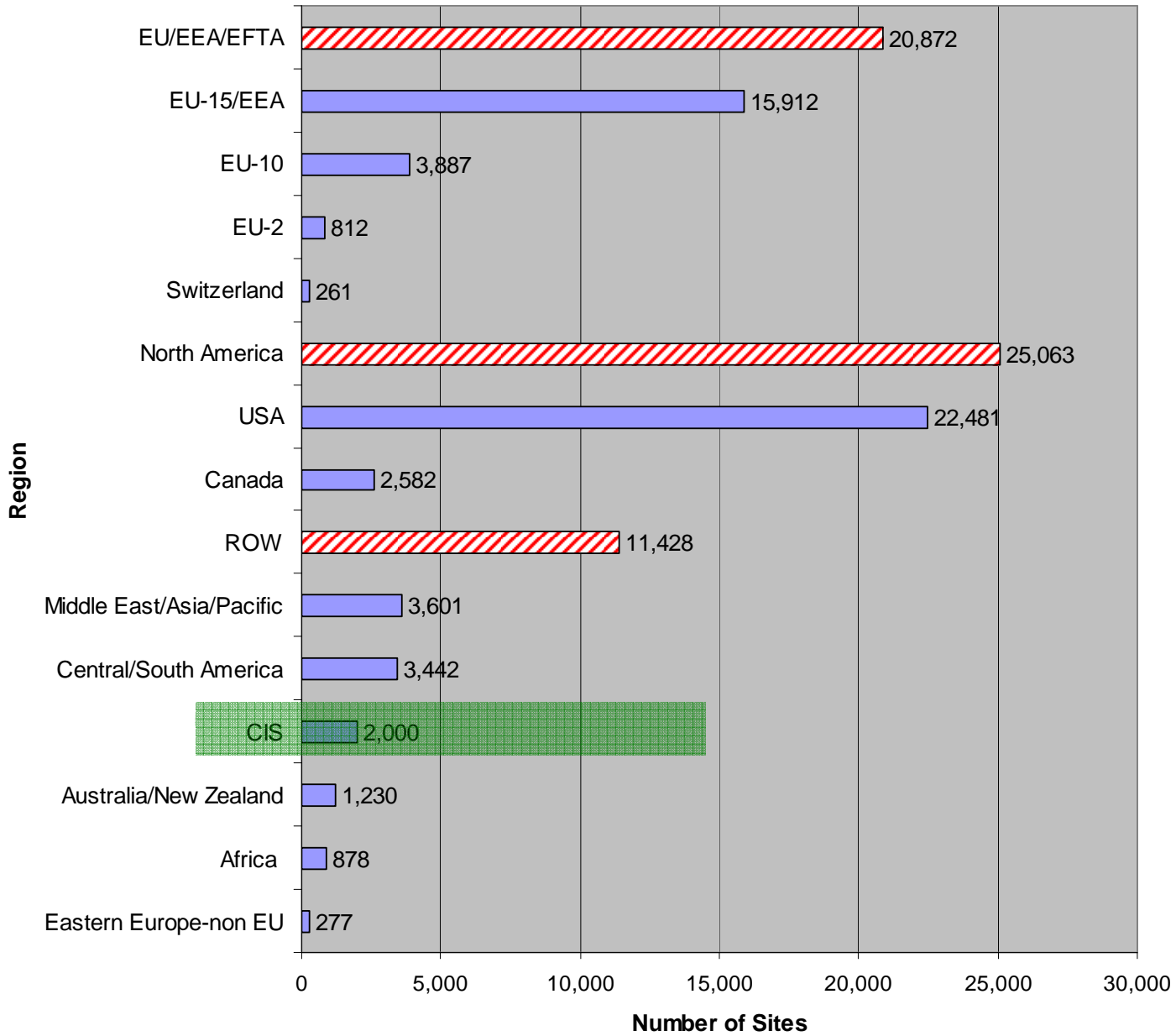
- Scientific Advice
- Development of guidelines
- Paediatric Investigation Plans
- Orphan Medicinal Product designation
- **Marketing Authorisation Application evaluation – Centralised Procedure**
- Processes - Advice, guidance, assessment and inspection –
- Performed by experts of the EU Member States' Medicines Agencies

www.ema.europa.eu

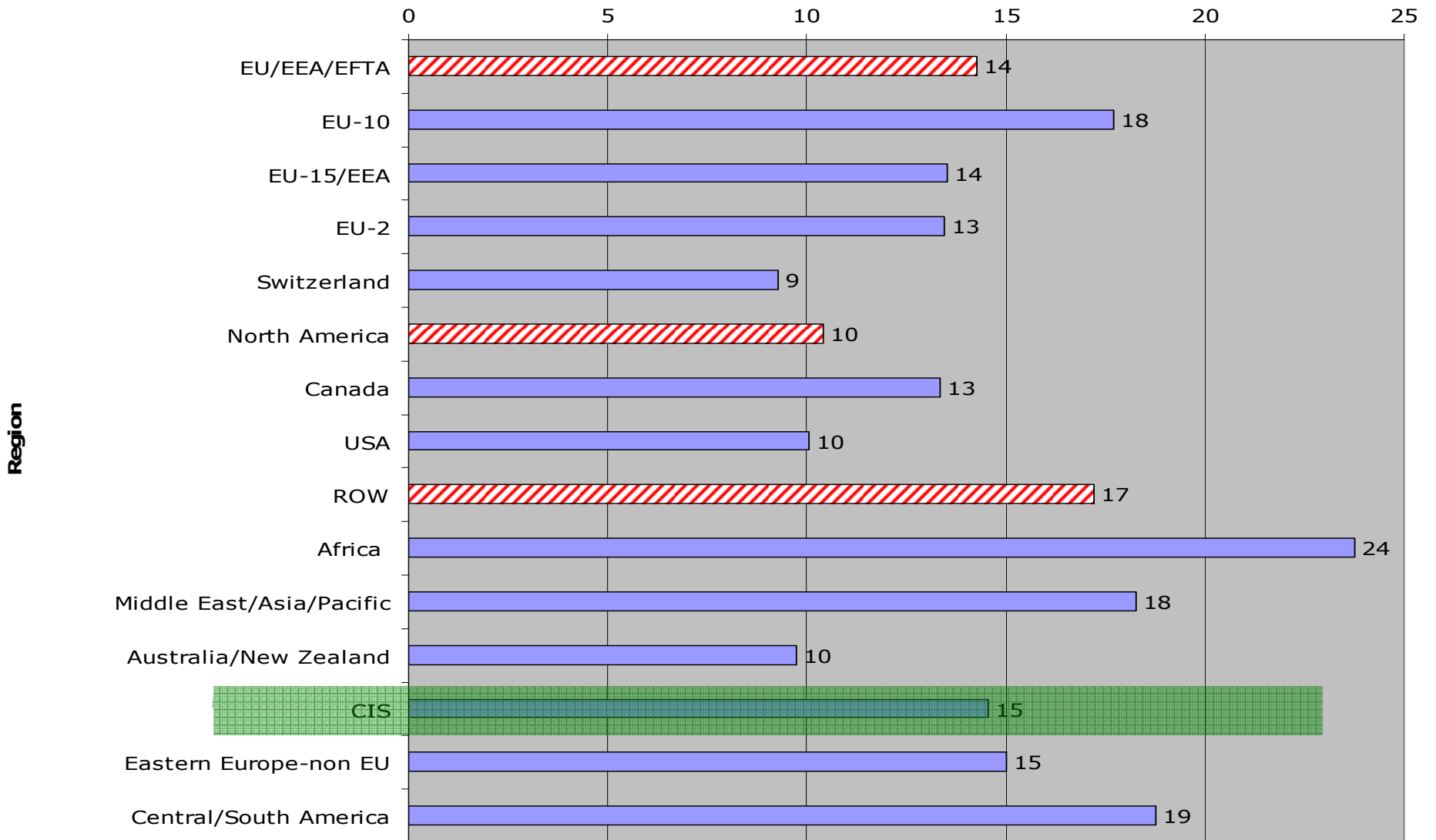
Number of patients in pivotal trials submitted in MAAs to EMA (2005-2010)



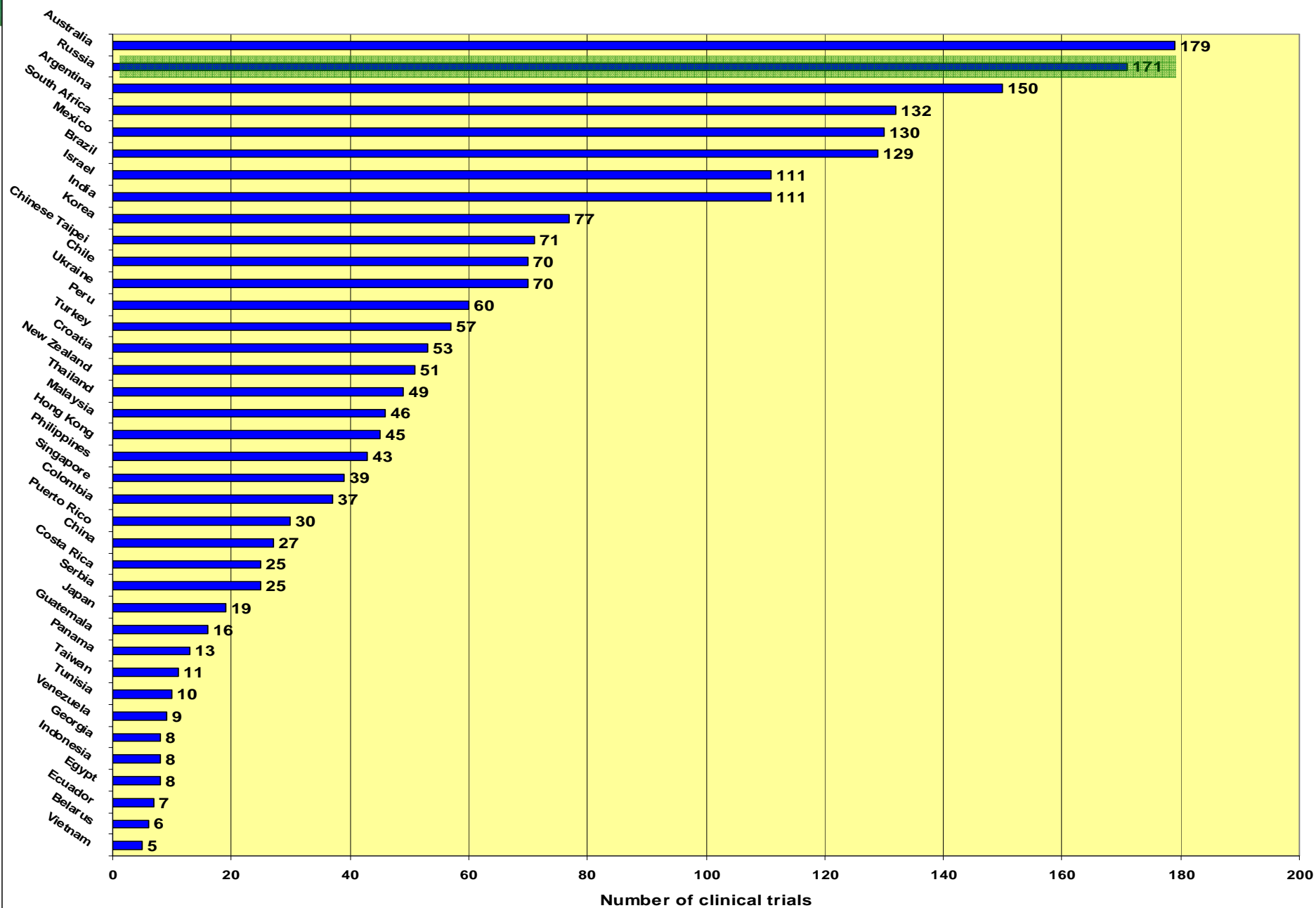
Number of clinical trials sites in pivotal trials in MAA to EMA (2005-2010)



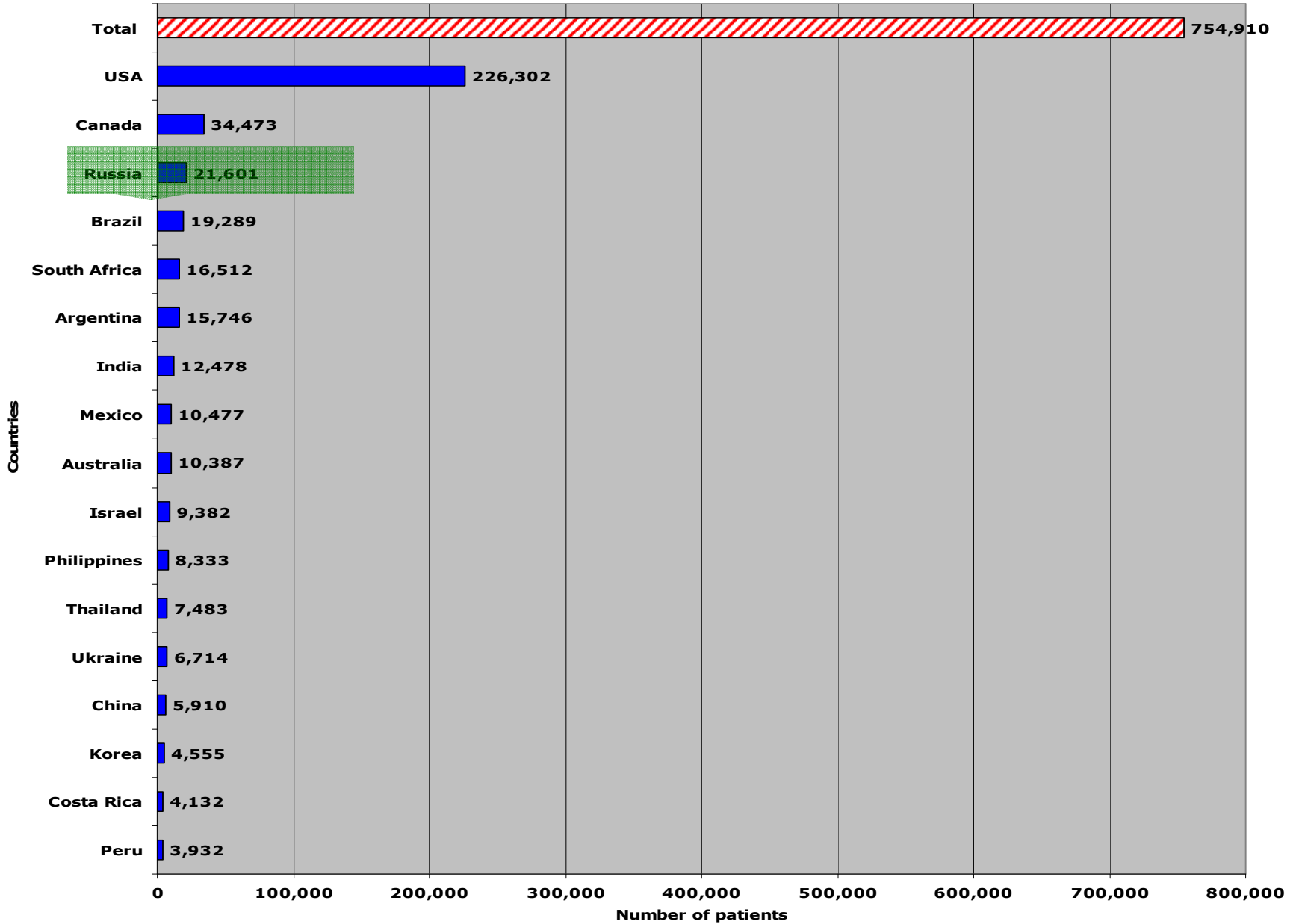
Average number of patients per site



Number of CT in MAA to EMA (2005-2010)



Third countries with at least 0.5% of the patients in the pivotal trials included in the MAA submitted to EMA (2005-2010)



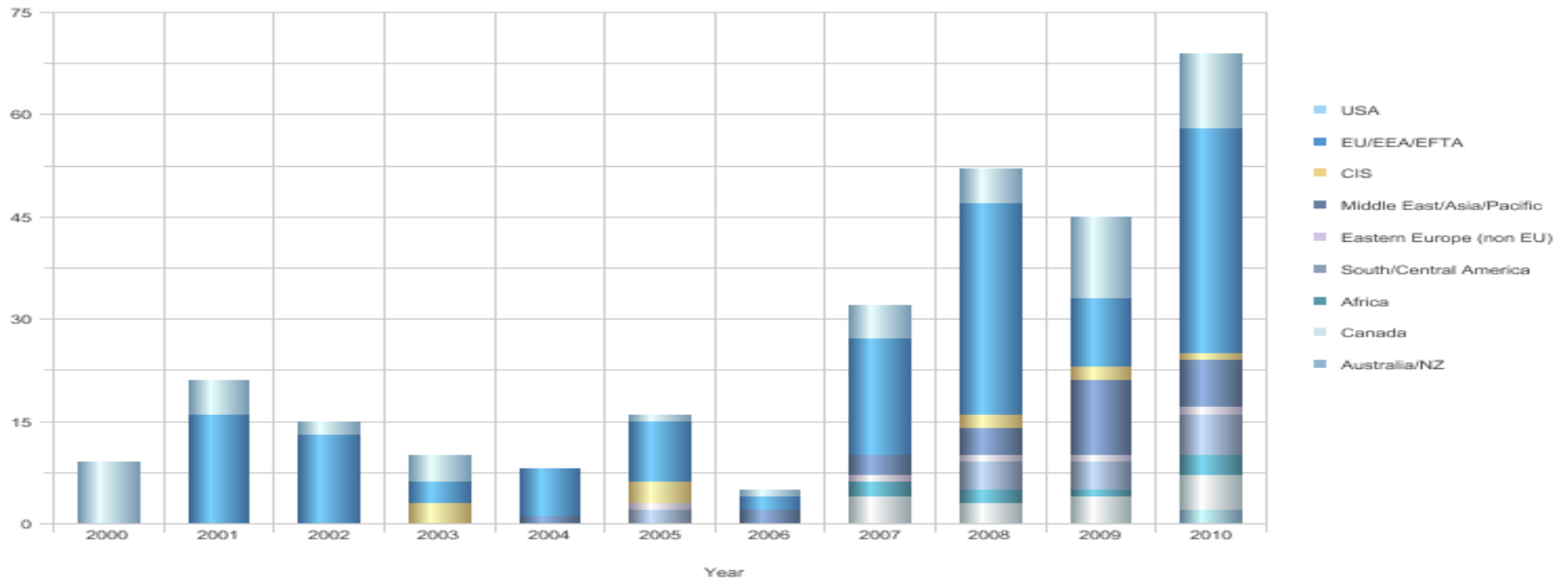


171 pivotal clinical trials involving Russian investigators sites submitted to EMA between 2005 and 2010

Wide range of therapeutic areas including:

- Oncology
- Anti-infectives
- Neurological
- Antipsychotic
- Cardiovascular
- Endocrine/Metabolism
- Diabetes

Number of inspections by year and region



Region	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	Total per region
USA	9	5	2	4		1	1	5	5	12	11	55
EU/EEA/EFTA		16	13	3	7	9	2	17	31	10	33	141
CIS				3		3			2	2	1	11
Middle East/Asia/Pacific					1		2	3	4	11	7	28
Eastern Europe (non EU)						1		1	1	1	1	5
South/Central America						2			4	4	6	16
Africa								2	2	1	3	8
Canada								4	3	4	5	16
Australia/NZ											2	2
Total per year	9	21	15	10	8	16	5	32	52	45	69	282

11 in Russia by 2011



The Dilemma.....

Between 2005 and 2010

754,930 Patients in pivotal trials

(39.4% in Europe, 34.5% in North America, 2.8% Africa, 8.7% Middle East/Asia Pacific, 3.9% CIS, 8.6% Latin America, 2.1% other)

57,363 clinical trial sites in c. **90** countries

c. 400 new MAA applications, **282** GCP inspections



EU requirements for clinical trials conducted in support of Marketing Authorisation Applications (MAAs) submitted to the EU

Requirements apply:

- To all clinical trials that are included in a MAA submitted in the EU/EEA
 - regardless of the route (Centralised, Mutual Recognition, Decentralised)
 - regardless of the EU or third country involved (legislation does not differentiate developed, developing etc)
- Apply to the clinical trials included in a MAA
- There is no specific legal framework for review of a clinical trial dossier by an EU regulator before the conduct of the trial in a third country



Clinical Trials included in MAA to the EU

Regulation (EC) No 726/2004 Recital 16:

“There is also a need to provide for the ethical requirements of Directive 2001/20/EC..... In particular, with respect to clinical trials conducted outside the Community on medicinal products destined to be authorised within the Community, at the time of the evaluation of the application for authorisation, it should be verified that these trials were conducted in accordance with the principles of good clinical practice and the ethical requirements equivalent to the provisions of the said Directive.”

Directive 2001/83/EC Annex I

“ To be taken into account during the assessment of an application, clinical trials, conducted outside the European Community, ... shall be designed, implemented and reported on what good clinical practice and ethical principles are concerned, on the basis of principles, which are equivalent to the provisions of Directive 2001/20/EC. They shall be carried out in accordance with the ethical principles that are reflected, for example, in the Declaration of Helsinki.”



- Two principles
 - o Acceptability – ethics and data quality
 - o Applicability – intrinsic and extrinsic factors

- Two sets of process:
 - o Prospective – guidance, scientific advice, PIP....
 - o Confirmatory – assessment, inspection....

- Global approach:
 - o Network of regulators
 - o International ethical and data quality standards in place and reinforced globally
 - o International clinical development plan addressing ethical, data quality and scientific/medical needs of all regions



1 London, 04 July 2011
2 EMA/389137/2011
3 The European Medicines Agency Working Group on Third Country Clinical Trials

4 Reflection paper on ethical and GCP aspects of clinical
5 trials of medicinal products for human use conducted
6 outside of the EU/EEA and submitted in marketing
7 authorisation applications to the EU Regulatory
8 Authorities
9 Draft

Draft Agreed by EMA Working Group on Third Country Clinical Trials	
End of consultation (deadline for comments)	30 September 2010
Agreed by EMA Working Group on Third Country Clinical Trials	
Adoption by EMA	
43452	
Comments should be provided using this template . The completed comments form should be sent to ctrefpaper@ema.europa.eu	
Keywords	<i>Clinical trials, GCP, Third Countries, Marketing Authorisation Applications, EMA, EU, Ethics</i>



Draft 'Reflection paper on ethical and GCP aspects of clinical trials conducted in third countries for evaluation in marketing authorisation applications for medicines for human use, submitted to the EMA' Public consultation completed 30th September 2010.

Topic 1. Clarify the **practical** application of ethical standards for clinical trials, in the context of EMEA activities

Topic 2. Determine the **practical** steps to be undertaken during the provision of guidance and advice in the drug development phase

Topic 3. Determine the **practical** steps to be undertaken during the Marketing Authorisation phase

Topic 4. International cooperation in the regulation of clinical trials, their review and inspection and capacity building in this area

<http://www.ema.europa.eu/Inspections/docs/71239709en.pdf>

Working group – members from CHMP/COMP/PDCO, PCWP, HCPWP, GCP IWG



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

12 May 2011
EMA/601825/2010
Patient Health Protection



International workshop

Meeting Report

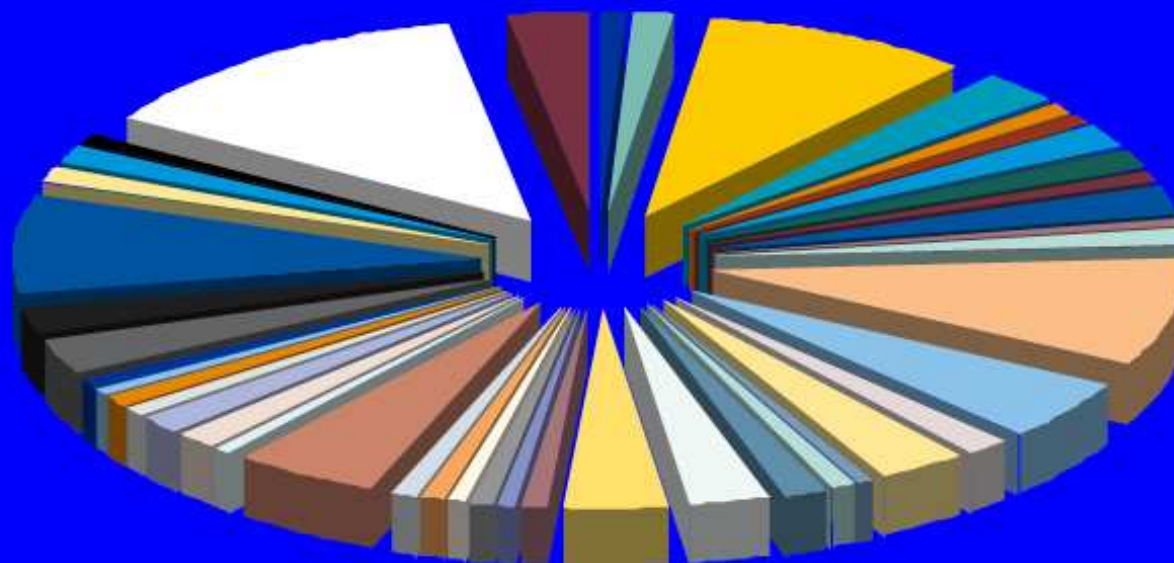
Draft reflection paper on ethical and GCP aspects of clinical trials of medicinal products for human use conducted in third countries and submitted in marketing-authorisation applications to the EMA

6-7 September 2010

European Medicines Agency, London, UK



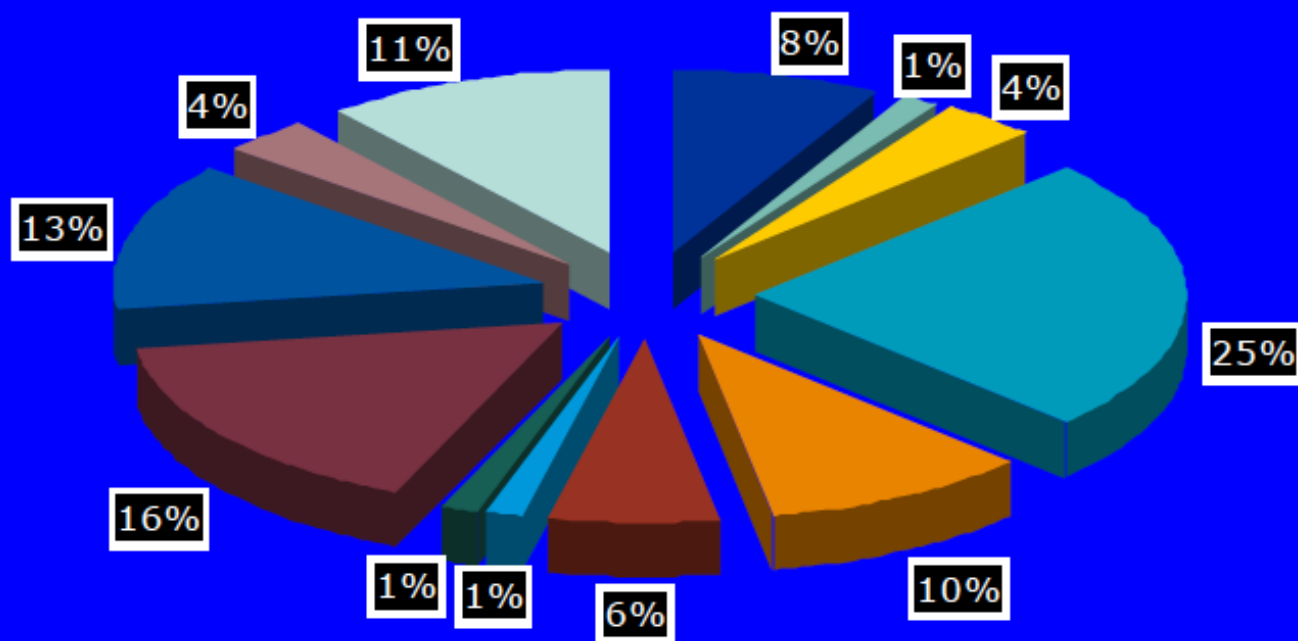
Participation by country



■ Argentina	■ Austria	■ Belgium	■ Brazil	■ Burkina Faso	■ Canada	■ China	■ Croatia
■ Cyprus	■ Denmark	■ Estonia	■ Finland	■ France	■ Germany	■ Ghana	■ Greece
■ Hungary	■ India	■ Indonesia	■ Ireland	■ Italy	■ Japan	■ Kazakhstan	■ Korea
■ Malawi	■ Mexico	■ Montenegro	■ Netherlands	■ Norway	■ Poland	■ Portugal	■ Russia
■ Serbia	■ Singapore	■ South Africa	■ Spain	■ Sweden	■ Switzerland	■ Taiwan	■ Thailand
■ Turkey	■ UK	■ USA					



Participation by Stakeholders



- | | | |
|---|--------------------------|------------------------------|
| ■ Academic Research | ■ Commercial sponsor | ■ Ethics Committee |
| ■ EU Regulator | ■ Industry | ■ International Organisation |
| ■ NGOs | ■ Non-commercial sponsor | ■ Non-EU Regulator |
| ■ Patients and Healthcare professionals | ■ Journalists | ■ European Medicines Agency |



A number of practical proposals and recommendations are set out in the draft reflection paper. It was considered that EU regulators should only expect or require studies in support of an EU marketing authorisation application that would also be ethically acceptable in the EU. There should not be a different standard applied to trials conducted in the EU compared to those conducted elsewhere.

The discussions over the course of the two-day conference highlighted a number of points including:

- Ethical principles are universal and not negotiable. Equivalent ethical and scientific standards should be applied everywhere in the world regardless of the current strengths or weaknesses of regulatory or other systems.
- There was a consensus on the important role to be played by greater practical cooperation and networking between regulatory authorities and ethics committees involved in the supervision of clinical trials, including capacity building activities.
- Increased transparency of information on clinical trials is essential to establishing public confidence in the clinical trial process and the assessment of trial information at the time of marketing authorisation. This includes prospective clinical trial registers used at the time studied are initiated and the provision of information about ethical and GCP aspects of the Marketing Authorisation application and assessment in the European Public Assessment Report (EPAR).
- The greatest impact is achieved by building the ethical and scientific standards into the conduct and supervision of clinical trials from their outset, assessment at the time of marketing authorisation can only reinforce that process but not replace it. Patients' views should be included from early on in this process to ensure the adequate protection of clinical trial subjects.



“It is an important element of international cooperation that regulators support compliance with local requirements in each country as well as reinforcing international ethical and good clinical practice standards.

In every case the trial must receive a positive opinion or approval from an ethics committee with appropriate jurisdiction for the investigator sites and trial concerned.”



“As regulators, wherever in the world we stand, most clinical trials, most manufacturing activities, are carried out somewhere else, under someone else’s jurisdiction – we rely on each other to supervise these activities for the benefit of all our citizens.”

“What is needed is a robust framework for the oversight and conduct of clinical trials, no matter where in the world the clinical investigator’s sites are located and patients recruited. The Agency is committed to build and extend its relationship with regulators in all parts of the world and with international organisations to work to standards agreed and recognised by all.”



International cooperation in the regulation of clinical trials, their review and inspection and capacity building in this area

- Cooperation
- Information sharing
- Training
- Sharing best practice
- Regulatory authorities (assessors and inspectors), ethics committees....



EU/EMA establishing exchanges

Confidentiality arrangements

- EU/USA, EU/Canada, EU/Japan
- Bilateral discussions between European Commission and Russia, India, China

EU/WHO

EMA – FDA GCP Initiative, harmonisation, synergy, information sharing, make best use of finite inspection resource

Global network

Shared training



Training together – network based

GCP Inspectors Workshops - EU Members States, Accession Countries

International from 2008 onwards:

Africa/Middle East, Ghana, Kenya, Malawi, Nigeria, South Africa, Tanzania, Zambia, Jordan, Saudi Arabia

Asia Pacific, Australia, China, Chinese Taipei, India, Indonesia, Philippines, Thailand

Russia

Latin America, Argentina, Brazil, Mexico

North America, USA, Canada

WHO

On site – EU inspectors notify local inspectors of site visits – invite them to observe

Ethics Committees – FERCAP (China, Thailand, Korea..), Council of Europe (Russia..)...



GOAL

Subjects/patients participating in trials are fully protected – wherever the trial takes place

Availability of safe and effective new medicines, as early as possible, with data relevant to all regions

Text size: [A](#) [A](#) [A](#)

Site-wide search

GO ▶

[Home](#) [Find medicine](#) **[Regulatory](#)** [Special topics](#) [Document search](#) [News & events](#) [Partners & networks](#) [About us](#) [Quick links](#)

Human medicines
[Pre-authorisation](#)[Post-opinion](#)[Post-authorisation](#)[Product information](#)[Scientific advice and protocol assistance](#)[Scientific guidelines](#)[Innovation Task Force](#)[Regulatory and procedural guidance](#)[SME office](#)[Paediatric medicine](#)[Orphan designation](#)[Herbal products](#)[Referral procedures](#)[Article 58 applications](#)[Compassionate use](#)[Pharmacovigilance](#)[Advanced therapies](#)
[▶ Home](#) [▶ Regulatory](#) [▶ Human medicines](#) [▶ Inspections](#) [▶ GCP compliance](#)

Good clinical practice compliance

[✉ Email](#) [🖨️ Print](#) [🔍 Help](#) [🔄 Share](#)

Good clinical practice (GCP) is an international **ethical and scientific quality standard** for designing, recording and reporting trials that involve the participation of human subjects.

Compliance with this standard provides public assurance that:

- ▶ the rights, safety and wellbeing of trial subjects are protected;
- ▶ the clinical trial data are credible.

The protection of clinical trial subjects is consistent with the principles set out in the [Declaration of Helsinki](#). This is a statement of ethical principles developed by the [World Medical Association](#).

Requirements for the conduct of clinical trials in the European Union (EU), including GCP and good manufacturing practice (GMP) and GCP or GMP inspections, are implemented in:

- ▶ the Clinical Trial Directive ([Directive 2001/20/EC](#));
- ▶ the GCP Directive ([Directive 2005/28/EC](#)).

Information concerning the activities in **EU Member States** can be found via the [Heads of Medicines Agencies](#).

EU harmonisation

The European Medicines Agency plays an important role in the **harmonisation and co-ordination** of GCP-related activity at an EU level. It is involved in:

- ▶ co-ordinating GCP inspections for the [centralised procedure](#);

Related information

- ▶ [Good clinical practice compliance \(veterinary\)](#)
- ▶ [Declaration of Helsinki](#)
- ▶ [World Medical Association](#)
- ▶ [Volume 10: clinical trial guidelines](#)
- ▶ [ICH-GCP guideline](#)
- ▶ [Council for International Organizations of Medical Science](#)
- ▶ [Working with the United States of America](#)
- ▶ [International workshop on the ethical and good-clinical-practice aspects of clinical trials conducted in third countries](#)
- ▶ [EMA strategy paper: Acceptance of clinical trials conducted in third countries, for evaluation in marketing authorisation applications \(04/12/2008\)](#)
- ▶ [Draft reflection paper on ethical](#)



Thank you



EUROPEAN MEDICINES AGENCY
SCIENCE MEDICINES HEALTH

- Dr. Ana Rodriguez Sanchez Beato
- Dr. Maria Antonietta Antonelli

